



Corporate Compliance Training Test

Instructions: Please circle the answer to each question on the attached Answer Sheet. Once you have completed the test, turn your answer sheet into your manager.

1. Compliance is the responsibility of:
 - a. Leadership/Management
 - b. Compliance Officer
 - c. All employees
 - d. Compliance Committee

2. Which of the following is a goal of the Compliance Office?
 - a. To promote a culture of ethics and integrity throughout the organization.
 - b. To provide training and education to support staff in understanding their legal and ethical obligations.
 - c. To prevent and detect violations of laws and regulations.
 - d. All of the above.

3. Which of the following are actual or potential conflicts of interest that should be reported to your supervisor or HR?
 - a. You accepted paid outside employment at a contracted provider entity, but it is only part-time and will not interfere with your normal work schedule for your entity.
 - b. Your spouse works for a vendor that is seeking to contract with your entity.
 - c. Your job includes referring consumers to providers and your daughter works at a provider organization.
 - d. You are completing a Master's Degree Program and are performing your internship at a contracted provider entity.
 - e. All of the above.

4. Which of the following is an example of fraud?
 - a. A clinician bills for 60 minutes of psychotherapy, but the consumer left after 30 minutes.
 - b. A clinician bills for their drive time to and from a service provided in the community. This service is only billable for time spent face to face with the consumer.
 - c. A staff member providing CLS services continues billing while the consumer is attending an appointment with their psychiatrist.
 - d. All of the above.
5. It is acceptable to offer to pay someone to make referrals to your agency or accept payments for making a referral to another agency.
 - a. True
 - b. False
6. It is okay to put an employee on a corrective action plan because they reported suspected fraud occurring within the agency in good faith.
 - a. True
 - b. False
7. Using federal funds to pay the salary and benefits of a person who is excluded from participating in Medicare and Medicaid is an acceptable practice.
 - a. True
 - b. False
8. Which of the following concerns should be reported?
 - a. Inappropriate interactions between consumers and staff.
 - b. Billing for services not provided.
 - c. Any irregular, suspicious, inaccurate, or inconsistent activity.
 - d. All of the above.
9. Reporting compliance issues is:
 - a. Frowned upon.
 - b. Optional.
 - c. Required.
 - d. Not a good idea.

10. Compliance issues should be reported to:
- a. CMHA-CEI's Compliance Officer.
 - b. MSHN's Chief Compliance and Quality Officer.
 - c. MDHHS Office of Inspector General.
 - d. All of the above.



Training Unit
Answer Sheet

Name: _____ Signature: _____

Agency: _____ Work Location: _____

Date: _____

Course (Circle one): Blood Borne Pathogens/Infection Control Cultural Competency & Diversity
HIPAA Privacy & Security Environmental Safety
Person Centered Planning De-Escalation Skills
Corporate Compliance Limited English Proficiency
Recipient Rights Trauma Informed Care
Appeals and Grievances

I attest, by filling out below, that I have reviewed the content for the circled course above and have completed the test to the best of my ability.

Once you have completed the test, turn into your manager.

Choose the one best answer for each question. Mark your answer below by circling the appropriate letter for each question.

- | | | | | | | | | | | | |
|----|---|---|---|---|---|----|---|---|---|---|---|
| 1 | A | B | C | D | E | 14 | A | B | C | D | E |
| 2 | A | B | C | D | E | 15 | A | B | C | D | E |
| 3 | A | B | C | D | E | 16 | A | B | C | D | E |
| 4 | A | B | C | D | E | 17 | A | B | C | D | E |
| 5 | A | B | C | D | E | 18 | A | B | C | D | E |
| 6 | A | B | C | D | E | 19 | A | B | C | D | E |
| 7 | A | B | C | D | E | 20 | A | B | C | D | E |
| 8 | A | B | C | D | E | 21 | A | B | C | D | E |
| 9 | A | B | C | D | E | 22 | A | B | C | D | E |
| 10 | A | B | C | D | E | 23 | A | B | C | D | E |
| 11 | A | B | C | D | E | 24 | A | B | C | D | E |
| 12 | A | B | C | D | E | 25 | A | B | C | D | E |
| 13 | A | B | C | D | E | | | | | | |

Instruction for Manager: If CLS or B-Contract, grade and keep for your own records. Records will be reviewed during site visits. If A-Contract, send completed (ungraded) answer sheet to the Training Unit.

Grade*: _____ out of _____ *must equal 80% or above to pass **Manager Initials** _____